



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)



CONFIRMATION NO. 3216

Bib Data Sheet

SERIAL NUMBER 09/777,987	FILING DATE 02/06/2001 RULE	CLASS 705	GROUP ART UNIT 3628	ATTORNEY DOCKET NO. 56269.US
-----------------------------	-----------------------------------	--------------	------------------------	------------------------------------

## APPLICANTS

Michael Spencer, London, UNITED KINGDOM;

Kieron Nolan, London, UNITED KINGDOM;

\*\* CONTINUING DATA \*\*\*\*\*

NO JMB 6/2/5

\*\* FOREIGN APPLICATIONS \*\*\*\*\*

YES JMB 6/2/5

UNITED KINGDOM 0030964.1 12/19/2000

## IF REQUIRED, FOREIGN FILING LICENSE GRANTED

\*\* 03/15/2001

Foreign Priority claimed <input checked="" type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY UNITED KINGDOM	SHEETS DRAWING 10	TOTAL CLAIMS 100	INDEPENDENT CLAIMS 1
35 USC 119 (a-d) conditions met <input checked="" type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after allowance Examiner's Signature 6/2/5 Initials				

## ADDRESS

000408  
LUEDEKA, NEELY & GRAHAM, P.C.  
P O BOX 1871  
KNOXVILLE , TN  
37901

## TITLE

Method of using a computerised trading system to process trades in financial instruments

FILING FEE RECEIVED 2150	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
--------------------------------	---	---